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PATENT

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PROVISIONAL
UTILITY

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DESIGN
PCT

Application No.: To be Assigned
Client/Matter No.: 031676.0212
Inventor(s): Kirk Emil APT et al

Date: April 23, 2001
Client: Martek Biosciences
Atty/Sec.: LHP/nb

TROPHIC CONVERSION OF OBLIGATE PHOTOTROPHIC ALGAE THROUGH
Title: METABOLIC ENGINEERING

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- ☒ Utility Patent Application Transmittal Cover
- ☒ Specification (54 pages, incorporating 3 sheets of claims (1-22) and an abstract
- ☒ 6 Sheets of Formal Drawings (Figures 1-7)
- ☒ Unexecuted Declaration and Power of Attorney for Patent Application (3 pages)

Return When Application Number Is Assigned

Please return card, indicating receipt date and Application No. if applicable, of the following :

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09/839536

PATENT



04/23/01

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**BROBECK
PHLEGER &
HARRISON**
LLP
ATTORNEYS AT LAW

INTELLECTUAL PROPERTY DEPARTMENT
1333 H STREET, N.W.
SUITE 800
WASHINGTON, D.C. 20005

April 23, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: 031676.0212

First Named Inventor: Kirk Emil APT et al

Title: **TROPHIC CONVERSION OF OBLIGATE PHOTOTROPHIC ALGAE
THROUGH METABOLIC ENGINEERING**

TO: **BOX PATENT APPLICATION**
Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☐ Fee Transmittal Form (original and duplicate)
2. ☒ Specification - Total Pages: 54 (Including Abstract)

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	22	20	2	\$ 18.00	\$ 9.00	\$18.00
Independent Claims	5	3	2	\$ 80.00	\$ 40.00	\$80.00
First Presentation of Multiple Dependent Claims				\$ 270.00	\$ 135.00	\$
BASIC FEE				\$ 710.00	\$ 355.00	\$355.00
TOTAL FILING FEE						\$453.00

3. ☒ Drawings - Total Sheets: 6 (Fig(s). 1-7)
4. Oath or Declaration - Total Pages: 3
 - a. ☐ Newly executed (original or copy)
☒ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with Box 17 completed)

- i. ☐ **DELETION OF INVENTOR(s):**
Signed statement attached deleting inventor(s) named in prior application.
5. ☐ **Incorporation By Reference (useable if Box 4b is marked)**
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ **Microfiche Computer Program (Appendix)**
7. ☐ **Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)**
- a. ☐ **Computer Readable Copy**
b. ☐ **Paper Copy (identical to computer copy)**
c. ☐ **Statement verifying identity of above copies**
8. ☐ **Assignment and Assignment Recordation Form**
9. ☐ **37 C.F.R. 3.73(b) Statement** ☐ **Power of Attorney**
10. ☐ **English Translation Document (if applicable)**
11. ☐ **Information Disclosure Statement with PTO-1449 and References**
☐ **Copies of Information Disclosure Statement Citations**
12. ☐ **Preliminary Amendment**
13. ☒ **Return Receipt Postcard**
14. ☒ **Small Entity Status Claimed:** ☐ **Independent Inventor**
☒ **Small Business Concern**
☐ **Non-Profit Organization**
- ☐ **Statement Enclosed**
- ☐ **Statement Filed in Prior Application; Status Still Proper and Desired**
15. ☐ **Foreign Priority is Claimed as Follows:**

- ☐ **If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith**

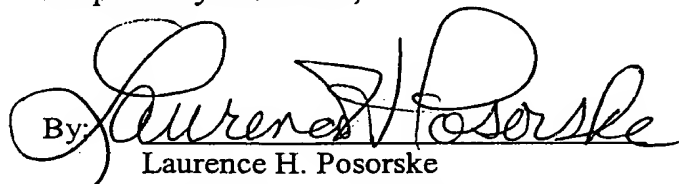
**BOX PATENT APPLICATION**

April 23, 2001

Page 3

16. ☐ Other: _____
17. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of
Prior Application No.: _____ filed _____
- ☒ Complete Application Based on Provisional Application No.: 60/198,742
filed April 21, 2000
18. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:
- ☐ The power of attorney is to: Rodger L. Tate, Reg. No. 27,399; Anthony W. Shaw, Reg. No. 30,104; James Remenick, Reg. No. 36,902; Cono A. Carrano, Reg. No. 39,623; Michael J. Songer, Reg. No. 39,841; Laurence H. Posorske, Reg. No. 34,698; Floyd B. Chapman, Reg. No. 40,555; David J. Kulik, Reg. No. 36,576; Robert A. King, Reg. No. 42,738 and Trevor Q. Coddington, Reg. No. 46,633.
19. Please address all correspondence to:
- ☒ **CUSTOMER NUMBER** 26118
- ☐ **Laurence H. Posorske**
Intellectual Property Department
Brobeck, Phleger & Harrison LLP
1333 H Street, N.W.
Suite 800
Washington, DC 20005
20. ☐ A check in the amount of \$ _____ is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-1640**.
- ☐ The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-1640**.

Respectfully submitted,

By: 
Laurence H. Posorske
Registration No. 34,968

LHP:nb
Enclosures